
Contents

Preface.....	xiii
Acknowledgements	xvii
The Authors	xix

Chapter 1	Introduction to Characterization of Nanostructures	1
1.1	Nanotechnology—In the Beginning There Was the Idea	1
1.2	Nanotechnology as a Practical Proposition	1
1.3	What Is Nanotechnology?	2
1.3.1	Nanotechnology—Top-Down.....	3
1.3.2	Nanotechnology—Bottom-Up	4
1.3.3	Nanotechnology—A Socio-Economic Definition.....	6
1.4	Materials Characterization—What Is It?	7
1.4.1	Strategy Versus Tactics of Materials Characterization	8
1.4.1.1	Meso-Versus Nano-scale	9
1.4.1.2	Dimensionality and Size Regimes of Meso/Nanostructures.....	9
1.4.1.3	Dimensionality.....	10
1.4.2	Macro-/Micro-scale Versus Nano-scale Materials Characterization.....	11
1.5	Current State of ‘Best Practice’ and QA	12
	References and Useful Reading	12
	Bibliography	13

SECTION I Techniques and Methods

Chapter 2	Electron-Optical Imaging of Nanostructures ((HR)TEM, STEM, and SEM).....	17
2.1	Introduction	17
2.2	TEM Overview	18
2.2.1	Magnetic Lenses and Aberrations.....	19
2.2.2	Spherical Aberration	21
2.2.3	Chromatic Aberration	21
2.2.4	Resolution in the Presence of Aberrations	21
2.3	Interactions of Electrons with Matter.....	23
2.3.1	High-Resolution Image Formation.....	23
2.4	Aberration Correction	27

2.5	Scanning Transmission Electron Microscopy (STEM).....	27
2.5.1	Technical Implementation	29
2.5.2	Diffraction Information from STEM	30
2.6	The Issue of Radiation Damage during Imaging and Analysis	31
2.7	SEM.....	32
2.7.1	Technical Overview.....	32
2.7.2	Cold-Cathode Field Emission	32
2.7.3	Point-to-Point Resolution	33
2.7.4	Depth of Field.....	34
2.7.5	Imaging Modes.....	35
2.7.5.1	Secondary Electron Imaging	35
2.8	Examples of SEM Performance	36
2.9	Optimization of Image Quality	37
2.9.1	Insulating Materials	37
	Acknowledgements	37
	Appendix: Definitions of Acronyms Used Widely for Description of Electron Microscopy (in Alphabetical Order)	39
	References	39
Chapter 3	Electron-Optical Analytical Techniques.....	41
3.1	Introduction	41
3.2	Loss Processes.....	41
3.2.1	EDS Spectral Notation	42
3.2.2	EDS Spectra	43
3.2.2.1	Fluorescence Yield (ω)	45
3.3	EELS	45
3.3.1	EELS Spectral Features	45
3.4	Technical Implementation and Methods	47
3.4.1	EDS	47
3.4.1.1	Quantification of EDS Spectra	49
3.4.2	EELS	50
3.5	Complementarity of EDS and EELS: A Case Study.....	51
	Acknowledgements	60
	References	60
Chapter 4	Photon-Optical Spectroscopy—Raman and Fluorescence	61
4.1	Introduction	61
4.2	Raman Spectroscopy	61
4.2.1	Physical Principles	61
4.2.2	A Formal Classical Description of the Raman Process	63

4.2.3	SERS—Surface Enhanced Raman Spectroscopy.....	64
4.2.4	TERS—Tip Enhanced Raman Spectroscopy	65
4.2.5	Technical Implementation and Analytical Methods.....	65
4.3	Fluorescence Spectroscopy	66
4.3.1	Technical Implementation and Operational Modes	67
4.3.2	Fluorescence Spectroscopy—Biomolecular Applications.....	69
4.3.3	Spectroscopic Analysis of Quantum Dots	70
4.3.4	Carbon-Based Nanostructures and Raman Spectroscopy	70
	Acknowledgements	74
	References	74
Chapter 5	Scanning Probe Techniques and Methods	77
5.1	Introduction	77
5.1.1	Essential Elements of SPM	77
5.1.1.1	Cost-Effectiveness	78
5.1.1.2	Platform Flexibility.....	78
5.1.1.3	Ambient Tolerance.....	80
5.1.1.4	User-Friendliness.....	80
5.1.1.5	Ease of Interpretation	80
5.1.1.6	Unique Capabilities.....	81
5.2	Technical Implementation	81
5.2.1	Spatial Positioning and Control.....	81
5.2.2	The Feedback Control Electronics	83
5.2.3	The Probe	86
5.2.3.1	STM	86
5.2.3.2	SFM	87
5.2.3.3	SFM Probe Calibration.....	88
5.3	STM/STS.....	90
5.3.1	Physical Principles—Brief Theory	90
5.3.2	Operational Modes—STM	94
5.3.2.1	Imaging at Constant Tunnel Current	94
5.3.2.2	Imaging at Constant Height.....	94
5.3.2.3	Error Signal Mapping	94
5.3.2.4	I-V Spectroscopy.....	94
5.4	SFM	95
5.4.1	Physical Principles	96
5.4.2	SFM Operational Modes	97
5.4.2.1	AC Modes—Non-contact and Intermittent Contact (Tapping)	97
5.4.2.2	LFM and Friction Loop Analysis	100
5.4.2.3	F-d Analysis.....	101

5.5	SCM.....	110
5.5.1	Principles and Implementation.....	110
5.5.2	Capacitance Mapping.....	110
5.5.3	Mapping Differential Capacitance	112
5.6	SNOM.....	113
5.6.1	Physical Principles	113
5.6.2	Technical Details.....	115
5.6.2.1	Shear-Force Detection	115
5.6.2.2	Optical Fibre Probe.....	115
5.6.3	Operational Modes	115
5.6.3.1	Transmission Imaging	117
5.6.3.2	Fluorescence	117
5.6.3.3	Near-Field Raman Spectroscopy and Mapping.....	118
5.6.4	Tip-Enhanced Raman Spectroscopy (TERS)	118
5.6.4.1	Technical Implementation of TERS	119
5.7	SECM	119
5.7.1	Physical Principles	120
5.7.2	Technical Details and Applications	120
5.8	Scanning Kelvin Probe (SKP).....	122
5.8.1	Effects of Electrostatic Interaction.....	122
5.9	Scanning Ion Current Microscopy (SICM).....	126
5.10	Future Prospects	127
5.10.1	Increased Spatial Resolution for SFM.....	127
5.10.2	Single Atom Chemical Identification by AFM	128
5.10.3	Faster Scan Rates	130
5.10.4	Greater Integration and Specialisation.....	130
	Appendix: Methods for Calibration of Normal Force	
	Constant, k_N	131
	References	132

Chapter 6	Techniques and Methods for Nanoscale Analysis of Single Particles and Ensembles of Particles	135
6.1	Introduction	135
6.1.1	Particle Size.....	136
6.2	Photon-Correlation Spectroscopy (PCS) or Dynamic Light Scattering (DLS).....	138
6.2.1	Theory	139
6.2.3	Mie Theory of Scattering.....	144
6.2.4	DLS Instrumentation.....	145
6.3	Differential Centrifugal Sedimentation (DCS)	147
6.3.1	The Basics of Differential Sedimentation.....	147
6.3.2	DCS Instrument Design	148
6.4	Zeta Potential.....	151
6.5	Differential Mobility Spectrometry (DMS)	152

6.6	Surface Area Determination	152
6.6.1	Adsorption and Desorption at Surfaces	154
6.6.2	Surface Area Measurement by the BET Method	158
6.6.3	BET Particle Analysis and the Equivalent Sphere	161
6.7	Surface and Bulk Chemistry	161
6.7.1	Single Particle Analysis	161
6.7.2	Surface Chemistry of Particle Ensembles	162
6.7.3	Wettability	163
6.8	Overview—Choice of Technique(s)	164
	Acknowledgements	165
	References	166

SECTION II Applications

Chapter 7	C_{60} and Other Cage Structures	171
7.1	Introduction	171
7.1.1	Euler's Theorem	171
7.1.2	The Fullerene Family	172
7.2	Characterization of Fullerenes and Fullerene Compounds	174
7.2.1	Characterization of Non-interacting C_{60} and of $C_{n \neq 60}$ Molecules	174
7.3	Endohedral Fullerenes	176
7.4	Fullerites	181
7.5	Peapod—Fullerenes in CNT	185
	References	189
Chapter 8	Quantum Dots and Related Structures	191
8.1	Introduction	191
8.2	Particles in 2-D and 3-D Confinement	192
8.3	Synthesis Routes for Quantum Dots	197
8.3.1	Colloidal Nucleation and Growth	199
8.3.2	Quantum Dots—Lithographic Methods	200
8.3.3	Self-Assembled Quantum Dots on a Planar Substrate	200
8.4	Characterization of Quantum Dots	200
8.4.1	Structure, Topography, and Analytical Information	200
8.4.2	X-Ray Diffraction and Scattering Methods for Ensembles—XRD, SAXS, and WAXS	202
8.4.2.1	Characterization by XRD	202

8.4.2.2	Small-Angle X-Ray Scattering (SAXS) of Quantum Dots.....	203
8.5	Absorption and Photoluminescence Spectroscopy of Quantum Dots	205
8.5.1	Photoluminescence of Single Quantum Dots.....	208
	References	213
Chapter 9	Carbon Nanotubes and Other Tube Structures	215
9.1	Introduction	215
9.2	Description of CNT Structure	215
9.3	Synthesis Routes.....	218
9.3.1	Arc-Discharge and Laser Ablation.....	218
9.3.2	Chemical Vapour Deposition (CVD)	218
9.3.2	Purification of Raw Product from Synthesis Routes.....	219
9.4	Electronic Structure of Graphene and SWCNT	219
9.4.1	Electronic Band Structure of Graphene	220
9.4.2	Electronic Structure of SWCNTs	221
9.5	General Characteristics of CNTs.....	223
9.6	Other Tube Structures.....	223
9.7	Characterization of Nanotubes.....	225
9.7.1	Topographical and Structural Characterization	226
9.7.1.1	CNT	226
9.7.1.2	Other Tube Structures.....	231
9.7.2	Analysis of Nanotubes by SPM.....	234
9.7.3	Raman Spectroscopy of CNTs	238
9.7.4	Characterization of Electronic Structure	245
9.7.4.1	EELS.....	245
9.7.4.2	Luminescence Spectroscopy	245
	References	250
Chapter 10	Nanowires.....	253
10.1	Introduction	253
10.2	Synthesis Routes.....	253
10.2.1	Overview of the VLS Process	253
10.2.2	The Template Process.....	256
10.3	Characterization of Nanowires by SEM and TEM	258
10.4	Characterization of Nanowire Heterostructures.....	259
10.5	Characterization Related to Potential Applications	259
	References	269
Chapter 11	Graphene and Other Monolayer Structures.....	271
11.1	Introduction	271
11.2	Graphene Structure.....	271

11.3	Summary of Electronic Structure	273
11.4	Other 2-D Structures (Nanosheets)	273
11.5	Overview of Synthesis Routes	275
11.5.1	Mechanical Exfoliation	275
11.5.2	Liquid Phase Exfoliation	275
11.5.3	Epitaxial Growth	276
11.5.4	Nucleation and Growth of Graphene on SiC	276
11.5.5	Catalyst Promoted Nucleation and Growth of Graphene	276
11.6	Structural Characterization	277
11.7	Raman Spectroscopic Characterization	281
11.8	Characterization of Electronic Structure	282
	References	286
Chapter 12	Nanostructures—Strategic and Tactical Issues	289
12.1	Thinking about Strategy	289
12.2	Thinking about Tactics	289
12.3	Strategic Issues	290
12.3.1	Other Nanostructures	290
12.3.2	Characterization of Nanostructure Ensembles	291
12.4	Preparation of Specimens for Characterization of Nanostructures	292
12.5	Ensemble Averages: Limitations	295
12.6	‘Soft’ Materials—Specimen Preparation	295
12.7	Cleanliness	295
12.8	User-friendliness	296
12.9	Cost-Effectiveness	297
	Acknowledgements	298
	Appendix A: Preparation of Cross-Sectional Specimens by the Focussed Ion Beam (FIB) Method	299
	General Description	299
	Typical Instrument	299
	Specimen Preparation by FIB Methods	299
	Advantages	299
	Disadvantages	301
	Details of FIB Methods	302
	Appendix B: (Cryo)Microtomy and Other Methods for Specimen Preparation of Soft Materials (e.g., Polymers and Biomaterials)	303
	General Overview	303
	Typical Instrument	304
	References	305
	Index	307